#### Modelling serial recall

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Serial Order Humans vs. Primates A Theoretical Gap

Botvinick et al. (2009) Spatial ISR Task Benchmark Effects Inferred Mechanisms

CQ Model Architecture & Operation Predictions

Summary & Conclusions

# Modelling immediate serial spatial recall in a macaque (*Macaca mulatta*)

#### Mark Hurlstone

Cognitive Science Laboratories University of Western Australia

UWA, February 2013

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## Comparative Cognition of Serial Order

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Summary & Conclusions

- In the field of comparative cognition, much research has contrasted the capacity of human and nonhuman primates to remember sequences
- In humans, memory for serial order is crucial for vocabulary acquisition (e.g., Baddeley et al., 1998) and the learning of action sequences (e.g., Agam et al., 2005)
- Given its centrality to human higher-level cognition, an important question is how the sequencing capabilities of nonhuman primates compares

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- Studies of several primate species—chimpanzees, capuchin, and rhesus monkeys—have revealed that they are capable of remembering and recalling fixed sequences of:
  - motor actions (Custance et al., 1999; Whitten, 1998)
  - visual images (Schwartz et al., 1991; 2000)
  - spatial locations (Hikosaka et al., 1999)
- Like humans, they appear to represent sequences by learning each items' ordinal position (Chen et al., 1997; D'Amato & Colombo, 1988, 1989; Orlov et al., 2000)
- Non-primate species (e.g., Pigeons, Rats) show no such capacity to develop ordered representations of sequences

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# • Despite these similarities between humans and primates, an important theoretical gap exists

 In humans, memory for serial order is examined using the Immediate Serial Recall (ISR) task

• requires one shot learning

- recall of the entire sequence
- Primate studies use paradigms in which subjects are exposed to repeated presentations of the same sequence and only a sub-set of the sequence must be reproduced

• A recent study by Botvinick et al. (2009) has plugged the theoretical gap ...

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- Examined the ISR capabilities of a 6-year old rhesus monkey (*Macaca mulatta*) named Jelly
- Employed a spatial ISR task similar in design to spatial ISR tasks used with Humans
- The task involved a fixed set of eight locations organised in a grid
- Lists containing 3- or 4-items were constructed using random sub-sets of the locations

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# Seven Benchmark Effects of Human ISR Shown By Jelly

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#### List-Length Effect

 Human verbal and spatial ISR performance decreases with increasing sequence length (Cranell & Parrish, 1957; Jones et al., 1995; Smyth & Scholey, 1996)

#### Primacy Effect

• Human verbal and spatial ISR performance decreases as a function of the ordinal position of items

#### Repetition Errors

 In human ISR studies, erroneous repetitions of items are extremely rare, accounting for approximately 2% to 5% of all responses (Henson, 1996; Vousden & Brown, 1998)

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- Most errors in human verbal and spatial ISR involve recalling items in the wrong position—transposition errors (e.g., Farrell & Lewandowsky, 2004; Guérard & Tremblay, 2008; Smyth & Scholey, 1996)
  - Transpositions tend to cluster around their correct position—the *locality constraint* (Henson, 1996)

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- Errors in human ISR often involve the confusion of items based upon their degree of similarity to one another
- In verbal ISR, the dimension of similarity is typically phonological (Conrad & Hull, 1964; Baddeley, 1966)
- In spatial ISR, the dimension of similarity is spatial proximity (Hitch, 1974)

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# Fill-in

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- In human ISR, when people recall an item a position too soon, they tend to follow-up by recalling the item that was displaced by the error (Henson, 1996; Page & Norris, 1998; Surprenant et al., 2005)
  - e.g., if recall of the list ABCDE begins with the production of B, the next response is most likely to be A (a *fill-in* error) rather than C (an *infill* error)

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• Like humans, Jelly produced more fill-in than infill errors—the ratio of fill-in to infill was 4:1

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- In human ISR, when an item intrudes from the immediately preceding trial it will tend to maintain the same ordinal position that it held on that trial (Conrad, 1960; Henson, 1996)
  - Such position-preserving intrusions are known as protrusions (Henson, 1996)
  - Like humans, Jelly produced protrusions—33% of his intrusions preserved their position from the previous trial

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- In human ISR, when an item intrudes from the immediately preceding trial it will tend to maintain the same ordinal position that it held on that trial (Conrad, 1960; Henson, 1996)
  - Such position-preserving intrusions are known as protrusions (Henson, 1996)
  - Like humans, Jelly produced protrusions—33% of his intrusions preserved their position from the previous trial

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# Inferred Mechanisms Based On Studies of Human ISR



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Serial Order Humans vs. Primates A Theoretical Gap

Botvinick et al. (2009) Spatial ISR Task Benchmark Effects Inferred Mechanisms

#### CQ Model

Architecture & Operation Predictions

Summary & Conclusions • The architecture of the model is based on Competitive Queuing (CQ) models of serial behaviour (e.g., Houghton, 1990)

• Such models possess three core features:

- fundamentally localist (refractory) representations of items
- parallel response activation and activation gradient
- a competitive output mechanism
- Electrophysiological recording data obtained with rhesus monkeys provide strikingly direct support for this sequence control mechanism (e.g., Averbeck et al., 2002; Averbeck et al., 2003a, 2003b)

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# Competitive Queuing Model: Global Architecture



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# Ad Hoc Assumptions

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Summary & Conclusions

- In order to account for the absence of a recency effect, it was assumed that Jelly selectively encoded the first two items in lists
- The selective encoding strategy was implemented by setting the learning rate for the context-item associations to its maximum value for the first two items in a list, but then decreasing the learning rate (exponentially) over subsequent positions

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## List-Length, Accuracy, and Repetition Errors



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# Aggregate Transposition Error Gradient



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# Aggregate Item Confusion Errors



## Item Confusion Errors By Ordinal Position



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# Fill-in and Protrusions

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#### • Fill-in

- the ratio of fill-in to infill errors produced by Jelly for 4-item lists was 4:1
- the model predicted a ratio of 10:1

#### Protrusion errors

- 33% of intrusion errors committed by Jelly on 4-item lists were protrusions
- the percentage of intrusions that were protrusions in the model was 29%

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# Fill-in and Protrusions

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Summary & Conclusions

- The results of the simulations serve as a *proof of principle* that the inferred mechanisms can reproduce the observed data
- In doing so, they suggest that core mechanisms and principles of human ISR may also be appropriate for understanding sequence processing in some nonhuman primate species
- Although the model passes the *sufficiency* test for model evaluation, further work is required to determine whether it passes the *necessity* test

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Summary & Conclusions

- One limitation the current exercise is that the data used to infer the structure of the model are based on observations of a single animal
- It will be important to apply the model to other animals of the same species, as well as animals from different species
- Fagot and De Lillo (2012) have recently directly compared the spatial ISR performance of two baboons (*Papio papio*) with humans, and these data are a priority for future modelling efforts

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